

ASIC Market Integrity Rule 3.4.3



Macquarie Securities (Australia) Limited (“**MSAL**”) is required to notify clients whenever an order is executed on behalf of the client by way of a crossing, this includes when:

1. One of your orders is executed as a Crossing, or
2. When MSAL or a related entity, enters into the other side of your transaction as Principal

You the client have the option of receiving this notification (“**Crossing Notification**”) or opting out of receiving it. If you choose to receive this notification there are three methods of delivery:

1. Via FIX tags 29 (capacity) and 30 (venue) in real-time.
2. Via IRESS in real-time (not due for release until Feb 2015)
3. As an after market close emailed report

***** Required Information *****

Please complete the following election with regard to the Crossing Notification.

Client Name: _____ elects to:

- | | |
|--|--------------------------|
| Receive notification via FIX | <input type="checkbox"/> |
| Receive notification via IRESS | <input type="checkbox"/> |
| Receive notification via email | <input type="checkbox"/> |
| Opt-out from receiving this notification | <input type="checkbox"/> |

Crossing Notification email address: _____
(please provide a secure group email to allow you to manage access to the report your end)

Legal and Compliance email address: _____
(please provide a secure group email for any regulatory notifications MSAL may be required to make)

I understand that by opting out of receiving this notification I may not receive the full extent of trade information that is available to me under the rules prescribed by ASIC. I understand that per the MSAL Terms and Conditions of Business I can opt-in to receive the Crossing Notification at anytime by contacting my MSAL relationship manager. I also understand that by trading with MSAL but failing to return this form to MSAL I will be deemed to have opted out from receiving the Crossing Notification.

Signed: _____ Name: _____

Position: _____