



NOTICE FOR CANADIAN CLIENTS

Reliance on International Dealer Exemption

Macquarie Securities (Australia) Limited (“MSAL” or the “Firm”) is a broker-dealer registered with the Securities & Exchange Commission (“SEC”) and Financial Industry Regulatory Authority (“FINRA”). This document is to advise Canadian clients of MSAL transacting in equity securities of an important regulatory disclosure. This and other important disclosures can be found at: <https://www.macquarie.com/au/en/disclosures/important-disclosures-and-information-for-maccap-equities-clients.html>

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations

Pursuant to the above regulation, MSAL makes the following representations:

- MSAL relies upon the international dealer exemption from the dealer registration requirement under NI 31-103, and is not registered in any jurisdiction in Canada to make a trade.
- MSAL’s principal place of business is in Sydney, Australia.
- There may be difficulty enforcing legal rights against MSAL because it resides outside of Canada and all or substantially all of its assets are situated outside of Canada.
- The below list contains MSAL’s agent for service process for each applicable province in Canada:

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